

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No.

: 10/510,641

Applicant

: Khim Hong NG et al.

Filed/371 date

: October 8, 2004 [March 4, 2005]

TC/A.U.

: 2812

Examiner

: Alexander G. Ghyka

Docket No. Customer No. : 3225-103

: 06449

Confirmation No.

: 2900

RENEWED REQUEST FOR CORRECTED FILING RECEIPT

Director of the United States Patent and Trademark Office P.O. Box 1450 Alexandria, Virginia 22313-1450

Dear Sir:

A Request for Corrected Filing Receipt for the above-identified application was filed in the Patent and Trademark Office on April 6, 2005 (a copy of the originally filed papers and the receipt card are attached hereto). No Corrected Filing Receipt has been received to date.

Please expedite correction of the Filing Receipt and forward the corrected Filing Receipt to the undersigned for our records.

Respectfully submitted,

By

Brian S. Rosenbloom Attorney for Applicants Registration No. 41,276

ROTHWELL, FIGG, ERNST & MANBECK, p.c.

Suite 800, 1425 K Street, N.W.

Washington, D.C. 20005 Telephone: (202)783-6040 Rothwell, Figg, Ernst & Manbeck , 1425 K St NW, Ste 800, Wash, DC 20005(202-783-6040)

PAT-PTO RECEIPT

TO BE DATE-STAMPED BY THE U.S. PATENT AND TRADEMARK OFFICE AS ACKNOWLEDGMENT OF RECEIPT BY IT OF THE FOLLOWING:

Request to correct Filing Receipt and Notice of Acceptance, marked copies of filing receipt and notice of acceptance, copies of initial PTO 1390 and post card receipt copies of second PTO-1390 and post card receipt

APR 0 6 2005

FEE(S) PAID:	CHECK	DEP ACCT 02-2135
ATTORNEY DOCKET NO.: 3225-103	IN REGARD TO	·
FIRST-NAMED INVENTOR: NG et al.	SERIAL NO.: 10/510641	PATENT NO.:
ATTORNEY/ASSISTANT: GFR:vjm	FILING DATE: March 4, 2005	ISSUE DATE:
	DUE DATE: ASAP	

Date-Stamp Here

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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REQUEST FOR CORRECTED FILING RECEIPT

Director of the United States Patent and Trademark Office P.O. Box 1450 Alexandria, Virginia 22313-1450

Dear Sir:

Please issue a corrected filing receipt and Notice of Acceptance for the above-referenced application. The Official Filing Receipt and Notice of Acceptance has the 371 date listed as October 8, 2004, however the declaration for this application was not filed until the second submission dated March 4, 2005. Therefore the 371/Date of Acceptance should be March 4, 2005 when all requirements under U.S.C. 371 (c)(1), (2) and (4) were met.

Copies of the previously filed transmittals (PTO-1390), and post card receipts of each submission are enclosed. Also enclosed are copies of the filing receipt and notice of acceptance documents with the corrections to be made marked in ink for your reference.

Respectfully submitted,

DV

G. Franklin Rothwell

Attorney for Applicants
Registration No. 18,125

ROTHWELL, FIGG, ERNST & MANBECK, p.c.

Suite 800, 1425 K Street, N.W.

Washington, D.C. 20005 Telephone: (202)783-6040



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS Alexandra, Virginia 22313-1450 www.umpto.gov

FILING OR 371 APPL NO. ART UNIT FIL FEE REC'D ATTY.DOCKET NO IND CLMS (c) DATE **DRAWINGS** TOT CLMS 10/510,641 -10/08/2004 2812 1206 3225-103 5 25

03/04/2005

CONFIRMATION NO. 2900

FILING RECEIPT

OC000000015256193

6449 ROTHWELL, FIGG, ERNST & MANBECK, P.C. 1425 K STREET, N.W. SUITE 800 WASHINGTON, DC 20005

Date Mailed: 03/01/2005

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Khim Hong Ng, Singapore, SINGAPORE; Yeow Keong Ng. Singapore, SINGAPORE; Kar Hwee Koh, Singapore, SINGAPORE;

Assignment For Published Patent Application

Systems on Silicon Manufacturing Co. PTE. LTD., Singapore, SINGAPORE

Power of Attorney: The patent practitioners associated with Customer Number 6449.

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/SG03/00011 01/20/2003

Foreign Applications

Projected Publication Date: 06/02/2005

Non-Publication Request: No

Early Publication Request: No

Title

Titanium underlayer for lines in semiconductor devices

Preliminary Class

438

LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

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NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



6449

SUITE 800

United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE UNITED STATES DEPARTMENT OF COMMI United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Viginia 22313-1450 www.uspto.gov

U.S. APPLICATION NUMBER NO. FIRST NAMED APPLICANT ATTY. DOCKET NO. 10/510.641 Khim Hong Ng 3225-103

INTERNATIONAL APPLICATION NO.

PCT/SG03/00011

I.A. FILING DATE

PRIORITY DATE

01/20/2003

CONFIRMATION NO. 2900 371 ACCEPTANCE LETTER

OC000000015256194*

Date Mailed: 03/01/2005

1425 K STREET, N.W.

WASHINGTON, DC 20005

NOTICE OF ACCEPTANCE OF APPLICATION UNDER 35 U.S.C 371 AND 37 CFR 1.495

The applicant is hereby advised that the United States Patent and Trademark Office in its capacity as a Designated / Elected Office (37 CFR 1.495), has determined that the above identified international application has met the requirements of 35 U.S.C. 371, and is ACCEPTED for national patentability examination in the United States Patent and Trademark Office.

The United States Application Number assigned to the application is shown above and the relevant dates are:

03/04/2005 -10/08/2004

05/64/2005 -10/08/2004 --

DATE OF RECEIPT OF 35 U.S.C. 371(c)(1), (c)(2) and (c)(4) REQUIREMENTS

ROTHWELL, FIGG, ERNST & MANBECK, P.C.

DATE OF COMPLETION OF ALL 35 U.S.C. 371 REQUIREMENTS

A Filing Receipt (PTO-103X) will be issued for the present application in due course. THE DATE APPEARING ON THE FILING RECEIPT AS THE " FILING DATE" IS THE DATE ON WHICH THE LAST OF THE 35 U.S.C. 371 (c)(1), (c)(2) and (c)(4) REQUIREMENTS HAS BEEN RECEIVED IN THE OFFICE. THIS DATE IS SHOWN ABOVE. The filing date of the above identified application is the international filing date of the international application (Article 11(3) and 35 U.S.C. 363). Once the Filing Receipt has been received, send all correspondence to the Group Art Unit designated thereon.

The following items have been received:

- Copy of the International Application filed on 10/08/2004
- Copy of the International Search Report filed on 10/08/2004
- Copy of IPE Report filed on 10/08/2004
- Preliminary Amendments filed on 10/08/2004
- Information Disclosure Statements filed on 10/08/2004
- Oath or Declaration filed on 10/08/2004
- Request for Immediate Examination filed on 10/08/2004
- U.S. Basic National Fees filed on 10/08/2004
- Assignment filed on 10/08/2004
- Power of Attorney filed on 10/08/2004

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

LAMONT M HUNTER
Telephone: (703) 308-9140 EXT 201

PART 1 - ATTORNEY/APPLICANT COPY

FORM PCT/DO/EO/903 (371 Acceptance Notice)

New 371 Application Receipt Card TO BE DATE-STAMPED BY THE U.S. PATENT AND TRADEMARK OFFICE AS ACKNOWLEDGMENT OF RECEIPT BY IT OF THE FOLLOWING: Description Description Х Copy of Published Int'l application 24 Form PTO-1390 X Copy of PCT Request Inventor(s):Declaration Copy of PCT Demand Information Disclosure Statement (IDS) 1 Copy of International Prelim Examapt (RER)
Copy of PCT/IN/301 1 PTO 1449 w/ 1 encl. Copy of PCT/IN/301
Copy of PCT/IN/304 Copy of International Search Report (ISR) 3 Assignment & Recordation Cover Sheet 2 Copy of PCT/IN/308 Copy of PCT/IN/332 Application Data Sheet OCT 0 8 2004 6 Preliminary Amendment Substitute Specification w/ marked and clean copy Application as Gled **₹1.** TRADENIS 10-8-04 DEP ACCT 02-2135 X CHECK X APPLICATION FILING/CLMS FEES PAID: \$1,000:00 \$1206 DEP ACCT 02-2135 X ASSIGNMENT RECORDATION FEE PAID: \$40.00 X CHECK IN REGARD TO ATTORNEY/ASSISTANT: GFR:vjm ATTORNEY DOCKET NO.: 3225-103 FIRST-NAMED INVENTOR: NG et al. TITLE: TITANIUM UNDERLAYER FOR LINES IN SEMICONDUCTOR DEVICES 🗸 INTERNATIONAL APPLICATION NO.: PCT/SG2003/000011 FILED: January 20, 2003 Date-Stamp Here

104 13

FORM PTO-1390	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No.			
TRANSMITTAL LETTER	TO THE UNITED STATES	3225-103			
DESIGNATED/ELECT	DESIGNATED/ELECTED OFFICE (DO/EO/US)				
CONCERNING A FILI	CONCERNING A FILING UNDER 35 U.S.C. 371				
INTERNATIONAL APPLICATION NO. PCT/SG2003/000011	INTERNATIONAL FILING DATE January 20, 2003	New Application PRIORITY DATE CLAIMED			
TITLE OF INVENTION TITANIUM UNDERLAYER FOR LIN	NES IN SEMICONDUCTOR DEVICES				
APPLICANT(S) FOR DO/EO/US Khim Hong NG, Yeow Keong NG	G and Kar Hwee KOH				
Applicant herewith submits to the United Sta	ates Designated/Elected Office (DO/EO/US) the	following items and other information:			
1. [X] This is a FIRST submission of	items concerning a filing under 35 U.S.C. 371				
	QUENT submission of items concerning a filing	under 35 U.S.C. 371.			
	gin national examination procedures (35 U.S.C.				
4. [] The US has been elected (Artic	ele 31).	•			
5. [X] A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. [X] is attached hereto (required only if not communicated by the International Bureau). b. [] has been communicated by the International Bureau. c. [] is not required, as the application was filed in the United States Receiving Office (RO/US)					
6. [] An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). a. [] is attached hereto. b. [] has been previously submitted under 35 U.S.C. 154(d)(4).					
 7. [X] Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) a. [] are attached hereto (required only if not communicated by the International Bureau). b. [] have been communicated by the International Bureau. c. [X] have not been made; however, the time limit for making such amendments has NOT expired. d. [] have not been made and will not be made. 					
8. [] An English language translation	of the amendments to the claims under PCT Art	ticle 19 (35 U.S.C. 371(c)(3)).			
9. [] An oath or declaration of the in-	ventor(s) (35 U.S.C. 371(c)(4)).				
10. [] An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).					
ITEMS 11. TO 20. below concern other document(s) or information included:					
 [X] A preliminary amendment. [X] An Application Data Sheet under the specification. [A] A substitute specification. [A] A power of attorney and/or address. [A] A computer-readable form of the specification. [A] A second copy of the published. 	cording. A separate cover sheet in compliance wer 37 CFR 1.76. Tess letter. The sequence listing in accordance with PCT Rule international application under 35 U.S.C. 154(d)	13 <i>ter</i> .2 and 35 U.S.C. 1.821-1.825)(4).			
20. [X] Other items or information:	nguage translation of the international application				

U.S. APPLICATION NO. (If known, New Application	APPLICATION NO. (If known, see 37 CFR 1.50) WAPPLICATION NO. PCT/SG2003/000011		ATTORNEY DOCKET NO		
21. [X] The following fees are submitted: Basic National Fee (37 CFR 1.492)(a)(1)-(5): Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and international search Report Not Prepared by EPO or JPO. \$ 1,080.00 international preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report has been prepared by the EPO or JPO. \$ 920.00 international preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO			CALCULATIONS	PTO USE ONLY	
	EN'	TER APPROPRIATE BAS	SIC FEE AMOUNT =	\$ 1,080.00	·
Surcharge of \$130.00 for fur months from the earliest clai	mishing the oath or do med priority date (37	eclaration later than [] CFR 1.492(e)).	20 []30	\$	
Claims .	Number Filed	Number Extra	Rate		
Total Claims	27 -20 =	7	X \$18.00	\$ 126.00	
Independent Claims	2 - 3 =	0	X \$86.00	\$ 0	
Multiple dependent claim(s)	(if applicable)		+ \$290.00	\$	
		TOTAL OF ABOVE CA	ALCULATIONS =	\$ 1206.00	
Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.			\$		
SUBTOTAL =			\$		
Processing fee of \$130.00 for furnishing the English translation later than [] 20 [] 30 months from the earliest claimed priority date (37 CFR 1.492(f)).			\$		
TOTAL NATIONAL FEE =			\$40.00	·	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +			\$		
	TOTAL FEES ENCLOSED =			\$ 1246.00	
			·	Amount to be refunded	\$
		1.1		charged	\$
 a. X A check in the amount of \$ 1206.00 and 40.00 to cover the above fees is enclosed. b. Please charge my Deposit Account No. 02-2135 in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed. c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 02-2135. A duplicate copy of this sheet is enclosed. NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a)) 					
or (b)) must be filed and gra	anted to restore the a	application to pending st		a	
SEND ALL CORRESPOND	ESPONDENCE TO: \mathcal{G} . \mathcal{L}			tornerel	
Customer No. 6449			Signature		
G. Franklin Rothwell	G. Franklin Rothwell			ell	
Name Rothwell, Figg, Ernst & Manbeck 1425 K St., N.W.					
Washington, D.C. 20005	Washington, D.C. 20005 <u>18,125</u>			<u></u>	

P					
FORM F	·		Attorney's Docket No.		
	TRANSMITTAL LETTER	3225-103			
	DESIGNATED/ELECTI	U.S. Application No. (if known, see 37 CFR 1.5)			
	CONCERNING A FILIN	,			
INTER PCT/	NATIONAL APPLICATION NO. SG03/000011	INTERNATIONAL FILING DATE January 20, 2003	PRIORITY DATE CLAIMED		
TITLE TITA	OF INVENTION NIUM UNDERLAYER FOR LINI	ES IN SEMICONDUCTOR DEVICES			
APPLI	CANT(S) FOR DO/EO/US Hong NG et al.				
Applica	ant herewith submits to the United Stat	es Designated/Elected Office (DO/EO/US) the	following items and other information		
1.		s concerning a filing under 35 U.S.C. 371	notion in the state of the stat		
2. X	This is a SECOND or SUBSEQUE	NT submission of items concerning a filing und	der 35 U.S.C. 371.		
3.		ational examination procedures (35 II S.C. 271			
4.	The US has been elected (Article 31	1).			
5. 🗆	nas been communicated	ion as filed (35 U.S.C. 371(c)(2)) ed only if not communicated by the Internation by the International Bureau. blication was filed in the United States Receivin			
6.					
7.	b. have been communicated	ernational Application under PCT Article 19 (3 red only if not communicated by the Internation by the International Bureau. yever, the time limit for making such amendmen will not be made.	nal Bureau).		
8.	An English language translation of the	he amendments to the claims under PCT Article	e 19 (35 U.S.C. 371(c)(3)).		
9. X	An oath or declaration of the invento	or(s) (35 U:S.C. 371(c)(4)).			
10.	An English language translation of the U.S.C. 371(c)(5)).	he annexes to the International Preliminary Exa	mination Report under PCT Article 36 (35		
	11. TO 20. below concern other docu	iment(s) or information included:			
11.	A Supplemental Application Data She A substitute specification. A power of attorney and/or address le A computer-readable form of the sequence A second copy of the published intermadation A second copy of the English language Other items or information:	eet under 37 CFR 1.76. tter. tence listing in accordance with PCT Rule 13te. tational application under 35 U.S.C. 154(d)(4). te translation of the international application under und	r.2 and 35 U.S.C. 1.821-1.825 der 35 U.S.C. 154(d)(4).		
	[] IB/416 [] Published Application	O(ISR) [] IB/301 [] IB/304 [] IB/308 [] I WO/	B 401 [] IB/409(IPER)		

10/510,6	U.S. APPLICATION NO. (If known, see 37 CFR 1.50) 10/510, 641 The following fees are submitted: INTERNATIONAL APPLICATION NO. PCT/SG03/000011		ATTORNEY DOCKET NO. 3225-103				
21. Basic	The following fees are submitted: 21. Basic National Fee\$300.00		s				
22. Examination Fee If International Preliminary Examination Report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1) – (4)			\$				
23. Search Search fee (37 as an International S	h Fee CFR 1.445(a onal Searchin	a)(2)) has been paid or	n the international applica	ation	to the USPTO \$100.00		
					21,22 and 23=	\$	
Additional sequence li \$250 for ea	fee for special sting or compact additional	50 sheets of paper or	filed in paper over 100 shiled in an electronic med a fraction thereof.	ium)	(excluding The fee is	\$	
Total Sheets	Extra Shee	Number of eac	h additional 50 or fraction up to a whole number)	n	RATE		
- 100=	/50				X 250.00		
carriest ciai	med priority	date (37 CFR 1.492(e	or declaration later than 3()).	0 ma	onths from the	\$130.00	
Claims		Number Filed	Number Extra	R	ate		
Total Claims		-20 =		Х	\$50.00	\$	
Independent C		- 3 =		Х	\$200.00	\$	
Multiple depen	dent claim(s)	(if applicable)		+	\$360.00	\$	
☐ Applicant of	aime emall a	T	OTAL OF ABOVE CA	LCI	JLATIONS =	\$	
above are re	Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.			\$			
D	SUBTOTAL =			\$			
the earliest clain	ng fee of \$130.00 for furnishing the English translation later than 30 months from st claimed priority date (37 CFR 1.492(f)).			\$			
			TOTAL NATIONAL FEE =			\$	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +			\$				
			TOTAL FEES ENCLOSED =			\$130.00	
						Amount to be refunded	\$
a. A check in the amount of \$\frac{130.00}{}\] to cover the above fees is enclosed.			charged	\$			
b. Please of A duplic	charge my De cate copy of t nmissioner is	eposit Account No. 02 his sheet is enclosed. hereby authorized to	-2135 in the amount of \$		to cover		DVETDavment
NOTE: Where:	an appropria	ate time limit under 3	R7 CER 1 494 on 1 405 b	enci	osen		i
	Ü	and the appropriate appropriate the appropriat	oplication to pending sta		~ /	15/	5) CFR 1.137(a)
SEND ALL COR Customer No. 64	49	NCE TO:	Signate	re	OWO.	10 My	uman
Thomas E. McKiern Rothwell, Figg, Erns 1425 K St., N.W. Washington, D.C. 20 Phone: 202/783-6040	t & Manbeck		Name37,	889	E. McKiernan		
		•			n Number		4

Rothwell, Figg, Ernst & Manheck , 1425 K St NW, Ste 800, Wash, DC 20005(202-783-6040)

PAT-PTO RECEIPT

TO BE DATE-STAMPED BY THE U.S. PATENT AND TRADEMARK OFFICE AS ACKNOWLEDGMENT OF RECEIPT BY IT OF THE FOLLOWING:

PTO-1390, Declaration (4 pages), Supplemental Application Data Sheet (2 pages)

X FEE(S) PAID: 130.00	X CHECK V	DEP ACCT 02-2135
	IN REGARD TO	
ATTORNEY DOCKET NO.: 3225-103	SERIAL NO.: 10/510,641 🗸	PATENT NO.:
FIRST-NAMED INVENTOR: NG et al.	FILING DATE: October 8, 2004	ISSUE DATE:
ATTORNEY/ASSISTANT: GFR:vjm	DUE DATE:	
	MAS 0 / 2005 S	Date-Stamp He

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